



*1761*  
*image*

---

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, on

Date: 12-17-03  
Name: Lisa Davis  
Signature: Alex M. Davis

*Clifford Chance Rogers & Wells LLP*

---

Docket No. 5677-111

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Leo J. Romanczyk, Jr. et al.

Filed: April 11, 2001 Group Art Unit: 1761

Serial No: 09/833,134 Examiner: C. Tate

For: EXTRACTION OF STEROLS FROM COCOA HULLS

**FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

12/24/2003 GWORD01 00000013 500521 09833134

01 FC:1806 180.00 DA

NYB 1446524.1

In accordance with 37 C.F.R. § 1.97(c), the Commissioner is authorized to charge the statutory fee of \$180.00 under 37 C.F.R. §1.17(p) and any additional fees required in connection with this submission or to credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this document is enclosed.

Respectfully submitted,

Date: December 17, 2003

Margaret B. Kelley  
Margaret B. Kelley  
Reg. No. 29,181

Clifford Chance LLP  
200 Park Avenue  
New York, NY 10166-0153  
Telephone: (212) 878-3145



<b>PTEN &amp; TRADEMARK OFFICE</b> <b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office <b>INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>				Serial No. 09/833,134
				Inventors: Leo J. Romanczyk, Jr. et al.
				Docket No. 5677-111

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0206981 A1	11-6-03	Lee et al.	424	776	12-28-01

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

### OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]